

OATI OASIS Access Limitations

The following Access Limitations will be installed in the Production OASIS system on January 12th, 2005 and manually monitored. On January 19th, the limits will be enforced in the software.

1. Automated Access to OASIS

Entities must provide OATI a thirty- day advance written notice prior to initiating a significant amount of automated queries. OATI will restrict access if a significant amount of automated queries are performed by an entity that has not provided notification.

2. Access Restrictions

OATI will restrict the access to OASIS if the company employs grossly inefficient methods to access OASIS that degrades the performance of the node.

- Transmission Offering Queries
 - Sixty queries per sixty second period are allowed to be submitted to each transmission provider
- Enforcement
 - The monitoring of queries and requests is monitored by the OASIS software, and includes the access to the OASIS through the templates as well as through the user interface.
 - The monitoring period may fluctuate from sixty seconds to three-hundred seconds as needed. Sixty second average values will be used.
 - If a Query limit is exceeded the following actions will be taken:
 - The access to submit additional queries will be blocked for a sixty second period
 - If the query limit is exceeded three times in a ten minute period, additional queries will be blocked for a two hour period.

3. Reporting of Violations

The OASIS Node Administrator will contact the entity that has violated the access limits as soon as practicable for any violation resulting in a two hour access limitation. The OASIS Node Administrator will contact the entity by the next business day for all violations that resulted in the sixty-second access limitation.

4. Data Record Limitations

The OASIS system supports the download of 1,000 records in a transstatus query, and 2,000 records in a transoffering query.